Approved for use through 07/31/2008. OMB 0851-003

	Under the Paperwork Reduc	tion Act	mes, nepersons are requ	U.S. Patent ired to respond to a collection	and Trademark Office; U.S. DEPARTMENT OF COMMERCE of information unless it contains a valid OMB control number.
			80		Complete If Known
Substitute for form 1449A-PTO FEB 0 7 2006				Application No.	09/940,986
INFC	RMATION (	71 gg	SLOSUÆF	Filing Date	August 29, 2001
INFORMATION DISCLOSURE STATEMENT BY APPEICANT			PITEANT	First Named Inventor	RESSEMANN
	STATEMENT BY APPLICANT			Art Unit	3763
	(Use as many sheets	as nec	essary)	Examiner Name	STIGELL, Theodore J.
Sheet	1	of	3	Attorney Docket No.	891,144-010

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document No.  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
13	1.	us-20010012951	08/2001	Bates	
	2.	us-20010037085	11/2001	Euteneuer	
	3.	us-20020022859	02/2002	Hogendijk	
	4.	us-20020177800	11/2002	Bagaoisan	
	5.	us-20030023200	01/2003	Barbut	
	6.	us-20030023227	01/2003	Zadno-Azizi	
	7.	us-20030083617	05/2003	St. Germain	
	8.	us-20030097036	05/2003	St. Germain	
	9.	us-20030150821	08/2003	Bates	
	10.	us-20030158518	08/2003	Schonholz	
	11.	us-20030171769	09/2003	Barbut	
	12.	us-20030171770	09/2003	Kusleika	
	13.	us-20030171771	09/2003	Anderson	
	14.	us-20030187390	10/2003	Bates	
	15.	us-20030187391	10/2003	Hogendijk	
	16.	us-20030187392	10/2003	Hogendijk	
	17.	us-20030208222	11/2003	Zadno-Azizi	
	18.	us-20030233117	12/2003	Adams	
	19.	us-20040010280	01/2004	Adams	T
	20.	us-20040010282	01/2004	Kusleika	
	21.	us-20040015150	01/2004	Zadno-Azizi	
	22.	us-20040034366	02/2004	van der Burg	
	23.	us-20040054347	03/2004	Zadno-Azizi	
	24.	us-20040064092	04/2004	Tsugita	
	25.	us-20040069549	04/2004	MacMahon	
	26.	us-20040092869	05/2004	Venturelli	
Y	27.	us-20040127885	07/2004	Barbut	

Examiner Signature			/ /
NB1:675517.1	Thorse of Street	Date Considered	4/27/06
			<del></del>

\*Examiner: Initial if reference considered whether or not diation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emporor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB-08a (08 03)

American LegalNet Inc. www. USCourtForms.com

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1985 in Persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A-PTO FEB 0 7 2006 Application No. 09/940,986 Filing Date August 29, 2001 INFORMATION DISCOSUR First Named Inventor **RESSEMANN** STATEMENT BY APPLIC Art Unit 3763 STIGELL, Theodore J. **Examiner Name** (Use as many sheets as necessary) Sheet 2 3 Attorney Docket No. 891,144-010

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document No. Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
13	28.	us-5,108,414	04/1992	Enderle	
1	29.	us-5,453,099	09/1995	Lee	
	30.	us-6,126,635	10/2000	Simpson	
	31.	us-6,533,800	03/2003	Barbut	
	32.	us-6,544,276	04/2003	Azizi	
	33.	us-6,569,148	05/2003	Bagaoisan	
	34.	us-6,582,396	06/2003	Parodi	
	35.	us-6,589,264	07/2003	Barbut	
	36.	us-6,592,546	07/2003	Barbut	
	37.	us-6,592,557	07/2003	Barbut	
	38.	us-6,595,980	07/2003	Barbut	
	39.	us-6,605,074	08/2003	Zadno-Aziziz	
	40.	us-6,605,102	08/2003	Mazzocchi	
	41.	us-6,623,471	09/2003	Barbut	
	42.	us-6,626,886	09/2003	Barbut	
	43.	us-6,632,236	10/2003	Hogendijk	
	44.	us-6,635,029	10/2003	Venturelli	
	45.	us-6,635,046	10/2003	Barbut	
	46.	us-6,645,222	11/2003	Parodi	
	47.	us-6,652,480	11/2003	Imran	·
	48.	us-6,652,546	11/2003	Nash	
	49.	us-6,682,505	01/2004	Bates	
	50.	us-6,682,543	01/2004	Barbut	
	51.	us-6,712,806	03/2004	St. Germain	
	52.	us-6,733,474	05/2004	Kusleika	
	53.	us-6,743,196	06/2004	Barbut	
W	54.	us-6,786,888	09/2004	Zadno-Azizi	

Examiner Signature			, 1	
NB1:675517.1	Theodore & Stigell	Date Considered	4/27/06	

\*Examiner: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emporor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. 8 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB-08a (08 03)
American LegalNet Inc.
www. USCourtForms.com

	Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.						
		•	OIPE	Complete If Known			
	ostitute for form 1449A-F	7	100	Application No.	09/940,986		
INEC	RMATION	DIGE	FEB 0 7 2006	Filing Date	August 29, 2001		
STA	TEMENT R		PLICAMI	First Named Inventor	RESSEMANN		
STATEMENT BY APPLICANT				Art Unit	3763		
(Use as many sheets as necessary)			essary)	Examiner Name	STIGELL, Theodore J.		
Sheet	3	of	3	Attorney Docket No.	891,144-010		

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document No.  Number-Kind Code <sup>2 (li known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
13	55.	us-6,790,204	09/2004	Zadno-Azizi		
¥	<b>56</b> .	us-6,805,692	10/2004	Muni		

	FOREIGN PATENTS						
Examiner	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T <sup>©</sup>	
Initials	140.	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code (if known)	WINN-DD-1111	Applicant of Cited Document	or Relevant Figures Appear		
13	57.	WO 9645835	09/1996				
	58.	WO 9742879	11/1997	-			
	59.	WO 0044429	08/2000				
	60.	WO 0051675	09/2000	-			
	61.	WO 0105329	01/2001				
V	62.	WO 04002564	01/2004				
		_					
					·		
		-					

Examiner	•			
Signature	111	1	/ /	
NB1:675517.1	Theodore & Stigell	Date Considered	4/27/06	
AF-va-lane A 101 A 1	and the second s			

\*Examiner: Initial if reference considered, inether or not distion is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emporor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460.

PTO/SB-08a (08 03)

American LegalNet Inc. www. USCourtForms.com

	<u>/o`</u>	מע אחה
S Form PTO/SB/08: Substitute for form 1449A	UCJ.	
INFORMATION DISC	LOSURE	
STATEMENT BY AP	PLICANT	
(Use as many sheets as ned	essary)	

Sheet

Complete if Known				
Application Number	09/940,986			
Filing Date	August 29, 2001			
First Named Inventor	Thomas V. RESSEMANN et al.			
Art Unit	3763			
Examiner Name T. Stigell				
Attorney Docket Number	08386.0003-01			

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,536,242	07-16-1996	Willard et al.	rigures Appear
75					
- 1		5,779,721	07-14-1998	Nash	
		5,879,361	03-09-1999	Nash	
+		5,938,672	08-17-1999	Nash	
+		5,997,558	12-07-1999	Nash	
		6,080,170	06-27-2000	Nash	
		6,524,323 B1	02-25-2003	Nash et al.	
		6,569,147 B1	05-27-2003	Evans et al.	_
		6,652,546 B1	11-25-2003	Nash et al.	
		6,827,701 B2	12-07-2004	MacMahon et al.	
		6,830,577 B2	12-14-2004	Nash et al.	
	-	6,843,797 B2	01-18-2005	Nash et al.	
	· · ·	6,905,505 B2	06-14-2005	Nash et al.	
		6,936,056 B2	08-30-2005	Nash et al.	
		2003/0069549 A1	04-10-2003	MacMahon et al.	
	-	2004/0193099 A1	09-30-2004	MacMahon et al.	
		2004/0260239 A1	12-23-2004	Kusleika	
V					

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

	FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>

Examiner Signature	Theodore I Stigell	Date Considered	4/27/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	6	IPE			
3/08: Substitute for for	n 1449 VPTQAIR	3 1 2005	C	omplete if Known	
	\~ '	الجح	Application Number	09/940,986	
DRMATION D	ISCI 🗞 I	RF 🚱	Filing Date	August 29, 2001	
TEMENT DV	ADDI	ROTEMARK	First Named Inventor	Thomas V. RESSEMANN et al.	
TENIENI DI	APPLICA	H	Art Unit	3763	
(Use as many sheets	as necessary)		Examiner Name	N. Lucchesi	
1	of	2	Attorney Docket Number	08386.0003-01	
	RMATION D	DRMATION DISCLASSING TEMENT BY APPLICA	ORMATION DISCLOSURE TEMENT BY APPLICATION (Use as many sheets as necessary)	CORMATION DISCLOSURE TEMENT BY APPLICATION (Use as many sheets as necessary)  CApplication Number Filling Date First Named Inventor Art Unit Examiner Name	Complete if Known  Application Number 09/940,986  PRMATION DISCLOSURE Filling Date August 29, 2001  First Named Inventor Thomas V. RESSEMANN et al.  Art Unit 3763  (Use as many sheets as necessary) Examiner Name N. Lucchesi

		U.S. PATENTS	AND PUBLISHE	D U.S. PATENT APPLICAT	TIONS
Examiner Initials	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
13	-	US-6,908,474 B2	21 June 2005	Hogendijk et al.	
1	-	US-6,905,490 B2	14 June 2005	Parodi	
		US-6,902, 540 B2	7 June 2005	Dorros et al.	
	-	US-6,896,663 B2	24 May 2005	Barbut	
		US-6,887,227 B1	3 May 2005	Barbut	
		US-6,887,220 B2	3 May 2005	Hogendijk	
		US-6,878,128 B2	12 April 2005	MacMahon et al.	
		US-6,855,136 B2	15 Feb. 2005	Dorros et al.	
		US-6,849,068 B1	1 Feb. 2005	Bagaoisan et al.	
		US-6,840,949 B2	11 Jan. 2005	Barbut	
		US-6,837,881 B1	4 Jan. 2005	Barbut	
		US-6,830,579 B2	14 Dec. 2004	Barbut	
	-	US-2005/0159640 A1	21 July 2005	Barbut et al.	
		US-2005/0154298 A1	14 July 2005	Barbut	
		US-2005/0149112 A1	7 July 2005	Barbut	
		US-2005/0131453 A1	16 June 2005	Parodi	
		US-2005/0124973 A1	9 June 2005	Dorros et al.	
		US-2005/0124849 A1	9 June 2005	Barbut et al.	
4		US-2005/0090854 A1	28 April 2005	Barbut	

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

	FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>			
73		WO 03/007797 A2	30 Jan. 2003	MacMahon et al.					
4		WO 04/011058 A2	5 Feb. 2004	Hogendijk					

Examiner Initials No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	nslation

Examiner Signature Signature Considered 4/27/06					
Signature Modelle S. Guillelle Considered 4/5/106	Examiner	MA An A It in	Date	ulan la	
	Signature		Considered	4/2/106	

٠			OIPE	·.		
IDS Form PTO/S	B/08: Substitute for for	m 1449A/P(Q	AUG 3 1 2005	G Co	omplete if Known	
			Æ	Application Number	09/940,986	
INF	ORMATION D	)ISCLOŠŰ	RECHANGE	Filing Date	August 29, 2001	_
ST/	TEMENT BY	APPLICA	NT	First Named Inventor	Thomas V. RESSEMANN et al.	
317	ALCIMICIAL DI	AFFLIOA	1141	Art Unit	3763	
	(Use as many sheets	as necessary)		Examiner Name	N. Lucchesi	
Sheet	2	of	2	Attomey Docket Number	08386.0003-01	

Examiner	Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where
Initials	itials No. Number-Kind Code (if known) Publication Date	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
73		US-2005/0085769 A1	21 April 2005	MacMahon et al.	
		US-2005/0020973 A1	27 Jan. 2005	MacMahon et al.	
		US-2005/0010247 A1	13 Jan. 2005	Kusleika et al.	<u>~</u>
		US-2005/0004594 A1	6 Jan. 2005	Nool et al.	
		US-2005/0004517 A1	6 Jan. 2005	Courtney et al.	
		US-2004/0054348 A1	18 March 2004	Hogendijk	
		US-2004/0019310 A1	29 Jan. 2004	Hogendijk	
$\dashv$		US-2003/0191434 A1	9 Oct. 2003	Dorros et al.	
$\top$		US-2003/0040694 A1	27 Feb. 2003	Dorros et al.	
$\top$		US-2001/0047184 A1	29 Nov. 2001	Connors, III	
V					
			1		· · · · · · · · · · · · · · · · · · ·

Examiner	11.1.1	1+-01	Date	4/20/06	
Signature	INPOSED S.	BUZLE	Considered	410/106	

OMB No. 0651-0011

Atty. Docket No.	08386.0003-01	Appln. No.	09/940,986	20000	
Applicant	Thomas V. RESSEMANN et al.			MAY SO SOME M	
Filing Date	August 29, 2001	Group:	3763	13 TANKER OF THE PARTY OF THE P	

	U.S. PATENT DOCUMENTS								
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate			
13	6,042,605	3/2000	Martin et al.						
	6,361,637 B2	3/2002	Martin et al.						
	6,520,986 B2	2/2003	Martin et al.						
1.	6,355,016 B1	3/2002	Bagaoisan et al.						
$-\Lambda$	6,540,712 B1	4/2003	Parodi et al.						

Examiner Initial*	Document Number	Publication Date	Name	Class	Sub Class	Filing Date If Appropriate
T3	2001/0049550 A1	12/2001	Martin et al.			
	2002/0002397 A1	1/2002	Martin et al.			
	2002/0062119 A1	5/2002	Zadno-Azizi		R	ECEIVED
	2002/0161395 A1	10/2002	Douk et al.		M	AY 29 2003
	2002/0188314 A1	12/2002	Anderson et al.		TECHNO	LOGY CENTER R370
$\overline{V}$	2003/0009146 A1	1/2003	Muni et al.			CHTEH R370

FOREIGN PATENT DOCUMENTS								
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No		
T5	WO 00/54673	9/2000	PCT	Ī				
V	WO 98/38929	9/1998	PCT					

OTHER D	OCUMENTS (Inclu	uding Author, `	Title, Date, I	Pertinent Page	es, Etc.)	
	-					
 				•		

Examiner	Theodol A. Styll	Date Considered	4/27/06
*Examiner:	Initial if reference considered, whether or not cit not in conformance and not considered. Include	ation is in conformance	
Form PTO 14	49	Patent and Trademar	rk Office - U.S. Department of Commerce

			OCLUSURE CI	IAHON	OIP	Ē \
Atty. Docket No.	08386.0003-01		Appln. No. 09	/940,986		1
Applicant	Thomas V. RESSEMA	NN et al.	· · · · · · · · · · · · · · · · · · ·		MAY 20	3003 iu
Filing Date	August 29, 2001		Group: 37	63	3	MARIA
					RADE	MAE
	<del></del>	U.S. PATEN	DOCUMENTS	<del>,</del>	γ	
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
······································	PUE	BLISHED U.S PA	TENT APPLICAT	IONS		
Examiner Initial*	Document Number	Publication Date	Name	Class	Sub Class	Filing Date If Appropriate
T3	2003/0023204 A1	1/2003	Vo et al.			
	2003/0040694 A1	2/2003	Dorros et al.			
	2003/0040704 A1	2/2003	Dorros et al.		REC	FIVED
	2003/0040705 A1	2/2003	Dorros et al.		• • • •	2.9 2003
	2003/0040762 A1	2/2203	Dorros et al.		WAL	<del></del>
Y	2003/0055398 A1	3/2003	Imran	1	CHNOLOG	CENTER R3700
		FOREIGN PATE	ENT DOCUMENTS			
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
			<u> </u>			-
· · · · · · · · · · · · · · · · · · ·	OTHER DOCUMENT	S (Including Au	thor. Title. Date. I	Pertinent P	Pages, Etc.	
					-8,	,
					<del></del>	<del></del>
		<u> </u>				
	_					
Examiner 4	adore J. S	tigell	Date Considered	4/2	7/06	
*Examiner: In	itial if reference considered, ot in conformance and not c	whether or not cit onsidered. Include	ation is in conformar copy of this form w	nce with MPI ith next com	EP 609; drav	v line through citation if o applicant.
Form PTO 1449		ş	Patent and Tradem	nark Office	- U.S. Depa	artment of Commerce



Atty. Docket No.	ASS 6.0003-01	Appln. No.	09/940,986
Applicant	Thomas V. RESSEMANN et al.		
Filing Date	August 29, 2001	Group:	3763

		U.S. PATEN	T DOCUMENTS			
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
T3	5,219,355	06/15/93	Parodi et al.			
1	5,250,060	10/05/93	Carbo et al.			
	5,533,987	07/09/96	Pray et al.			
	5,573,508	11/12/96	Thornton	Рг	· O :::	
	5,643,208	07/01/97	Parodi	1110	CEIV	ED
	5,709,701	01/20/98	Parodi	AP	R 3 0 20	03
	5,797,949	08/25/98	Parodi	TECHNOL	GY CENTE	P.no.
·	5,820,595	10/13/98	Parodi			113700
	5,868,706	02/09/99	Cox			
	5,891,090	04/06/99	Thornton			
	6,007,545	12/28/99	Venturelli			
	6,117,124	09/12/00	Parodi			
	6,159,195	12/12/00	Ha et al.			
	6,228,072 B1	05/08/01	Omaleki et al.			
	6,231,588 B1	05/15/01	Zadno-Azizi			
	6,270,477 B1	08/07/01	Bagaoisan et al.			
	6,312,407 B1	11/06/01	Zadno-Azizi et al.			
	6,413,235 B1	07/02/02	Parodi			
	6,423,032 B2	07/23/02	Parodi			
	6,454,741 B1	09/24/02	Muni et al.			
W	6,497,670 B1	12/24/02	Parodi			

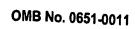
FOREIGN PATENT DOCUMENTS								
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No		
T3	WO 97/44082	11/27/97	PCT					
<b>V</b>	WO 98/38930	09/11/98	PCT					



Atty. Docket No.	8386.0003-01	Appln. No.	09/940,986
Applicant	Thomas V. RESSEMANN et al.		
Filing Date	August 29, 2001	Group:	3763

T3	WO 98/39046	09/11/98	PCT	RECEIVED
1_	WO 98/39047	09/11/98	PCT	APP
	WO 99/42059	08/26/99	PCT	1 1 2 0 2002
	WO 99/42157	08/26/99	PCT	TECHNOLOGY CENTER R3700
	WO 00/07657	02/17/00	PCT	TER R3700
	WO 00/56391 A1	09/28/00	PCT	
	WO 00/76390 A2	12/21/00	PCT	
	WO 01/12104 A1	09/22/01	PCT	
	WO 01/45590 A2	06/28/01	PCT	
	WO 01/45592 A1	06/28/01	PCT	
	WO 01/58382 A2	08/16/01	PCT	
	WO 01/70326 A1	09/27/01	PCT	
	WO 01/91844 A1	12/06/01	PCT	
	WO 02/22199 A2	03/21/02	PCT	
	WO 02/32495 A1	04/25/02	PCT	
1	WO 03/008015 A2	01/30/03	PCT	
<b>V</b>	WO 03/009880 A2	02/06/03	PCT	

F	PUI					
Examiner Initial*	Document Publn. Date Name Number		Name	Cla ss	Sub Class	Filing Date
T3	2001/0044598 A1	11/2001	Parodi			
	2001/0047184 A1	11/2001	Connors, III	†		
	2002/0016564 A1	02/2002	Courtney et al.			
	2002/0016565 A1	02/2002	Zadno-Azizi et al.	T		
	2002/0026145 A1	02/2002	Bagaoisan et al.			
	2002/0029031 A1	03/2002	Bagaoisan et al.		<del></del>	
	2002/0035347 A1	03/2002	Bagaoisan et al.		<del></del>	<del></del>
	2002/0052638 A1	05/2002	Zadno-Azizi			
W -	2002/0065507 A1	05/2002	Zadno-Azizi	┝╼╾┤		





Atty. Docket No.	8386.0003-01		Appin. No. 09/940,98	6
Applicant	Thomas V. RESSEMA	NN et al.		A CO
Filing Date	August 29, 2001		Group: 3763	TECKI APR 3 CEIVED
T5	2002/0087119 A1	07/2002	Parodi	TECHNOLOGY CENTEN ROTOD
	2002/0091407 A1	07/2002	Zadno-Azizi et al.	-CNTCH AS
	2002/0107479 A1	08/2002	Bates et al.	Non-
	2002/0151922 A1	10/2002	Hogendijk et al.	<del></del>
	2002/0173815 A1	11/2002	Hogendijk et al.	+
	OTHER DOCUMENT	S (Including /	Author, Title, Date, Pertinen	t Pages, Etc.)
Examiner 4/	endore G. s	tiell	Date Considered 4	127/06
*Examiner: Init thro cor	ial if reference consider ough citation if not in communication to applican	ed, whether or nformance and t.	not citation is in conformance d not considered. Include cop	e with MPEP 609; draw line by of this form with next
Form PTO 1449		Patent ar	nd Trademark Office - U.	S. Department of Commerce



Atty. Docket N	o. 68366.0003-01	Appin. No.	09/940,986	
Applicant	Thomas V. RESSEMANN et al.			
Filing Date	August 29, 2001	Group:	3763	

		U.S. PATEN	T DOCUMENTS			
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date
T5	6,398,773	6/4/02	Bagaoisan et al.	604	509	
	5,833,644	11/10/98	Zadno-Azizi et al.	604	52	
<i>V</i>	6,152,909	11/28/00	Bagaoisan et al.	604	523	\$.
					· Ac	MAN CAN
			·		*Chy	OG, SO
			}			CENT

FOREIGN PATENT DOCUMENTS						The same of the sa
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
				+		<del></del>
		†		<del> </del> -	<del></del>	

OTHER DOCUMENTS (Including A M
 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Form PTO 144	communication to applicant.
*Examiner:	Initial if reference considered, whether or not citation is in conformation with NASTO con-
Examiner	Theodore J. Stigell Date Considered 4/27/06

Atty. Docket No.	053EE60503-01	Serial No.	09/940,986		
Applicant	Thomas V. RESSEMANN et al.			<u> </u>	,+5
Filing Date	August 29, 2001	Group:	3763	76	RE

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filting Date If Appropriate
T5	5,385,562	1/31/95	Adams et al.	604	280	1000
				_		

		FOREIGN PATE	NT DOCUMENT	S		
,	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
						····
					<u> </u>	
				İ		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						

Examiner	Theodore a Styell	Date Considered 4/27/06
*Examiner:	Initial if reference considered, whether or n	ot citation is in conformance with MPEP 609; draw line not considered. Include copy of this form with next
Form PTO 144	9 Patent and	Trademark Office - U.S. Department of Commerce

Atty. Docket No.	08386.0003-01		Serial No. 0	9/940,986		
Applicant	Thomas V. RESSEM	IANN et al.				
Filing Date	<b>√</b> August 29, 2001		Group: 3	763		
11011 0 0 0 0	28					8 70
- ( NOV 0 8 20)	UI E	U.S. PATEN	T DOCUMENTS			C 3.
Exampiner Initial MADEMA	Document Number	Issue Date	Name	Class	Sub Class	Filing Date 1
13	6,295,989	10/2/01	Connors, III	128	898	ALL ROOM
						ROC
						3
			-			
			<u> </u>			
	<u> </u>				1	
		FOREIGN PATI	ENT DOCUMENT	rs		
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
			<u> </u>			
· · · · · · · · · · · · · · · · · · ·						
<u> </u>				<u> </u>		
· · · · · · · · · · · · · · · · · · ·			. <u></u>	<u></u>	<u> </u>	
	OTHER DOCUME	NTS (Including Au	thor, Title, Date,	, Pertinent F	Pages, Etc.	)
		= -				
					. <u> </u>	
	7		<u> </u>			
		isell	Date Considere		1/06	
th	nitial if reference consideration if not in the communication to application to a	conformance and				
Form PTO 1449		Patent and	d Trademark O	office - U.S	. Departm	nent of Commerce



Atty. Docket No.	8386.0003-01	Serial No.	09/940,986	<i>ټ</i> ٠. ا
Applicant	Thomas V. RESSEMANN et al.			
Filing Date	August 29, 2001	Group:	3763	

		U.S. PATENT	DOCUMENTS			
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
OIPE						
<u> </u>						
UCI 2 2 5001 FR						
		FOREIGN PATE	IT DOCUMENT	S		
PRADEMAN	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
T5	Theron, Jacques, G., MD, "Carotid Artery Stenosis: Treatment with Protected Balloon Angioplas and Stent Placement," Radiology, Vol. 201, No. 3, December 1996, pp. 627-636.				
	Hurst, Robert W., MD, "Carotid Angioplasty," Radiology, Vol. 201, No. 3, December 1996, pp. 613 616.				
	Tanaka, Masato, et al., "Percutaneous Transluminal Angioplasty (PTA) for Stenosis at the Subclavian Artery and at the Origin of the Vertebral Artery: Therapeutic indication and some adjunctive safe methods during PTA," Neurological Surgery, Vol. 22, No. 10, 1994, pp. 939-946				
	Terada, Tomoaki, et al., "Newly Developed Blocking Balloon Catheter for PTA of Internal Carotid Artery," Neurological Surgery, Vol. 21, No. 10, 1993, pp. 891-895.				
	Soler-Singla, L., et al., "Angioplastia Carotídea Con Protección Cerebral Y Prótesis Endovascular Revista De Neurologia, 1997; Vol. 25, No. 138, pp. 287-290.				
	Kinoshita, Akira, et al., "Percutaneous Transluminal Angioplasty of Internal Carotid Artery: A Preliminary Report of Seesaw Balloon Technique," Neurological Research, 1993.				
	Kinney, Thomas B., et al., "Shear Force in Angioplasty: Its Relation to Catheter Design and Function," American Journal of Roentgenology, January 1985, pp. 115-122.				
	McCleary, A.J., et al., "Cerebral Haemodynamics and Embolization During Carotid Angioplasty in High-Risk Patients, The British Journal of Surgery, Vol. 85, No. 6, June 1988, pp. 771-774.				
	Theron, J. et al., "New Triple Coaxial Catheter System for Carotid Angioplasty with Cerebral Protection," American Journal of Neuroradiology, September 1990, pp. 869-874.				
V	Therón, Jacques, "Angioplasty of Brachiocephalic Vessels," Interventional Neuroradiology Endovascular Therapy of the Central Nervous System, Chapter 13, 1992, pp. 167-180.				

RECEIVED

OCT 2 5 2001 TECHNOLOGY CENTER R3700



Atty. Docket No.	8386.0003-01	Serial No.	09/940,986	-
Applicant	Thomas V. RESSEMANN et al.			
Filing Date	August 29, 2001	Group:	3763	

Examiner	Werdere A. Stuell	Date Considered	4/27/06
*Examiner:	Initial if reference considered, whether through citation if not in conformance communication to applicant.	or not citation is in confor and not considered. Include	mance with MPEP 609; draw line de copy of this form with next
Form PTO 14	149 Patent	and Trademark Office	e - U.S. Department of Commerce



**RECEIVED** 

OCT 2 5 2001
TECHNOLOGY CENTER R3700

OMB40,0651-0011



Atty. Docket No.	8386.0003-01		Serial No.	09/940,986	HAO	
Applicant	Thomas V. RESSEM	IANN et al.			· · · · · · · · · · · · · · · · · · ·	Ch of the
Filing Date IP	August 29, 2001		Group:	Unknown		CAIR OF
	8/1					CO. C. O. S.
OCT 1 6	2001 5	FOREIGN PAT	ENT DOCUMEN	ITS		
TA TRADE	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
13	0 427 429 A2	5/15/91	EPO	A61M	25/10	
4	WO 99/45835	9/16/99		A61B		

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
75	Kachel, Reiner, M.D., "Results of Balloon Angioplasty in the Carotid Arteries," J ENDOVASC SURG, 1996, 3:22-30.
	Kachel, R., "Current Status and Future Possibilities of Balloon Angioplasty in the Carotid Artery," Connors, Wojak (Eds); Interventional Neuroradiology Strategies and Practical Techniques, Chapter 46, pp. 473-484, 1998.
<b>V</b>	Theron, Jacques, MD, "Cerebral Protection During Carotid Angioplasty," Letters to the Editors, J. ENDOVASC SURG, 1996:3:484-486.

Examiner	Therdore A. Si	tigell Date Considered 4/27/06
*Examiner:	Initial if reference consider	ered, whether or not citation is in conformance with MPEP 609; draw line conformance and not considered. Include copy of this form with next
Form PTO 1	449	Patent and Trademark Office - U.S. Department of Commerce



<b></b>	INFORMATION	DISCLOSURI	E CITATION	OMB No. 0651-0011
Atty. Docket No.	8386.0003-01	Serial No.	09/940,986	The for the
Applicant	Thomas V. RESSEMANN et al.			le to the
Filing Date	August 29, 2001	Group:	Unknown	

U.S. PATENT DOCUMENTS						
Examiner	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
73%	4,921,478	5/1/90	Solano et al.	604	53	•
nnd a c bone	5,350,395	9/27/94	Yock	606	194	
PRADENT	5,451,207	9/19/95	Yock	604	53	
TRADENA	5,451,233	9/19/95	Yock	606	194	
	5,527,292	6/18/96	Adams et al.	604	171	
	5,749,888	5/12/98	Yock	606	194	
	5,769,868	6/23/98	Yock	606	194	
	6,036,715	3/14/00	Yock	606	194	
	4,784,636	11/15/88	Rydell	604	22	
	4,883,460	11/28/89	Zanetti	604	22	
	5,833,650	11/10/98	Imran	604	53	
	5,989,263	11/23/99	Shmulewitz	606	108	
	6,013,085	1/11/00	Howard	606	108	
	6,146,370	11/14/00	Barbut	604	500	
	6,176,844 B1	1/23/01	Lee	604	101.04	
	5,843,022	12/1/98	Willard et al.	604	30	
	5,971,938	10/26/99	Hart et al.	600	562	
	4,655,746	4/7/87	Daniels et al.	604	53	·
	5,484,412	1/16/96	Pierpont	604	101	
	5,423,742	6/13/95	Theron	604	28	
	6,156,005	12/5/00	Theron	604	96	
	6,022,336	2/8/00	Zadno-Azizi et al.	604	96	
	5,059,178	10/22/91	Ya	604	101	
	5,011,488	4/30/91	Ginsburg	606	159	
	5,569,204	10/29/96	Cramer	604	164	
	6,135,991	10/24/00	Muni et al.	604	509	
4	6,206,868 B1	3/27/01	Parodi	604	500	

Page 1 of 2